I hereby certify that this correspond is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Socket No.: 18097-030200US

Assistant Commissioner for Patents Washington, D.C. 20231

on 5/1/00

TOWNSEND and TOWNSEND and CREW LIA

By: Jana Jane

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Punnonen et al.

Application No.: 09/247,886

Filed: February 10, 1999

For: TARGETING OF GENETIC

VACCINE VECTORS

Examiner:

Unknown

Art Unit:

1632

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

Application No.: 09/247,886

Page 2

no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Timothy L. Smith Reg. No. 35,367

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415-576-0300

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Juha Punnonen et al.

Application No.: 09/247,886

Filed: February 10, 1999

For: GENETIC VACCINE VECTOR

ENGINEERING

Examiner:

Shin-Lin Chen

Art Unit:

1633

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed, except for Appl. No. 09/655,646 (Ref. AH), which is a divisional of the instant application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

Juha Punnonen, etal.

Application No.: 09/247,886

Page 2

no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This Information Disclosure Statement is being submitted after the issuance of the first Office Action and before the issuance of the final Office Action. The Assistant Commissioner for Patents is hereby authorized to deduct the fee of \$240.00 from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account. This authorization is submitted in triplicate.

Applicants also bring to the attention of the Office two continuation applications, filed on November 28, 2000, based on the present application, for which two continuation applications serial numbers are not yet available. Also, several divisional and/or continuation applications were filed on November 28, 2000 for each of the copending applications listed on the attached form PTO-1449; no serial numbers are yet available for these applications.

Respectfully submitted,

Timothy L. Smith, Ph.D. Reg. No. 35,367

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Tel: 415-576-0200 Fax: 415-576-0300

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